

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No. 30033.0new
First Inventor Nishida et al.
Title Color Proofing Method And Apparatus
Express Mail Label No. EF380104155US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages / 43]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 6]
5. ☒ Oath or Declaration [Total Pages / 3 /]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Check for \$1,514.00, Amendment under 37 CFR 1.111 and PCT International Preliminary Examination Report

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: _____ Group/Art Unit: _____
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) OR ☒ Correspondence address below

NAME Michael F. Scalise
Hodgson Russ LLP

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Name (Print/Type)	Michael F. Scalise	Registration No. (Attorney/Agent)	34,920
Signature	<i>Michael F. Scalise</i>	Date	January 17, 2002

"Express Mail" Mailing Label Number EF380104155US

Date of Deposit January 17, 2002

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.

Barbara Haggerty

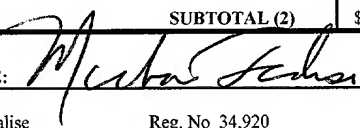
Name

Signature

BFLODOCS:647790

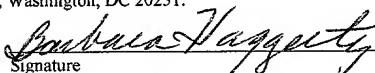
FEE TRANSMITTAL for FY 2002

Patent Fees are subject to annual revision.

FEE TRANSMITTAL for FY 2002 <i>Patent Fees are subject to annual revision.</i>		Application Number								
		Filing Date		01/17/2002						
		First Named Inventor		Nishida et al						
		Examiner Name								
		Group/Art Unit								
TOTAL AMOUNT OF PAYMENT		(\$)1514.00		Attorney Docket Number		30033.0new				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.				3. ADDITIONAL FEES						
Deposit Account Number: <u>08-2442</u> Deposit Account Name: Hodgson Russ LLP				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				105	130	205	65	Surcharge - late filing fee or oath	\$	
<input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				139	130	139	130	Non-English specification	\$	
FEE CALCULATION				147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	\$	
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid				112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$	
				113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$	
101 740 201 370 Utility filing fee				\$740	115	110	215	55	Extension for reply within first month	\$
106 330 206 165 Design filing fee				\$	116	400	216	200	Extension for reply within second month	\$
107 310 207 255 Plant filing fee				\$	117	920	217	460	Extension for reply within third month	\$
108 740 208 370 Reissue filing fee				\$	118	1,440	218	720	Extension for reply within fourth month	\$
114 160 214 80 Provisional filing fee				\$	128	1,960	228	980	Extension for reply within fifth month	\$
SUBTOTAL (1)				\$740	119	320	219	160	Notice of Appeal	\$
2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid				120	320	220	160	Filing a brief in support of an appeal	\$	
Total Claims / 21/-20** = /1/ x / 18 / =				\$18	121	280	221	140	Request for oral hearing	\$
Independent Claims / 12/- 3** = /9/ x / 84 / =				\$756	138	1,510	138	1,510	Petition to institute a public use proceeding	\$
Multiple dependent / / x / / =				\$	140	110	240	55	Petition to revive - unavoidable	\$
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description				141	1,280	241	640	Petition to revive - unintentional	\$	
				142	1,280	242	640	10 advance copies Utility issue fee (or reissue)	\$	
103 18 203 9 Claims in excess of 20				143	460	243	230	Design issue fee	\$	
102 84 202 42 Independent claims in excess of 3				144	620	244	310	Plant issue fee	\$	
104 280 204 140 Multiple dependent claim if not paid				122	130	122	130	Petitions to the Commissioner	\$	
109 84 209 42 **Reissue independent claims over original patent				123	50	123	50	Processing fee under 37 CFR 1.17(q)	\$	
110 18 210 9 **Reissue claims in excess of 20 and over original patent				126	180	126	180	Submission of Information Disclosure Statement	\$	
SUBTOTAL (2)				\$774	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$
SIGNATURE: 				146	740	246	370	Filing a submission after final rejection(37 CFR 1.129(a))	\$	
Michael F. Sealise Reg. No 34,920				149	740	249	370	For each add'l invention to be examined(37 CFR 1.129(b))	\$	
DATE: January 17, 2002 Telephone (716) 848-1258				179	740	279	370	Request For Continued Examination (RCE)	\$	
"Express Mail" Mailing Label Number: EF380104155US				169	900	169	900	Request for Expedited Examination of a design appln	\$	
Date of Deposit: <u>January 17, 2002</u>				*Reduced by basic filing fee paid					SUBTOTAL (3)	\$0

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, DC 20231.

Barbara Haggerty
Name


Signature

January 17, 2002
Date of Signature

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